

07/15/03  
18334 U.S. PTOUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2003\_0966A

First Named Inventor : Keishi KUBO et al.

Title: METHOD AND APPARATUS FOR QUANTITATIVE QUALITY  
INSPECTION OF SUBSTRATE SUCH AS WAFER

Express Mail Label No.:

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- 1.
- ☒
- Fee Transmittal Form
- 
- (Submit an original, and a duplicate for fee processing)

- 2.
- ☐
- Small Entity Status is hereby asserted.

- 3.
- ☒
- Specification [Total Pages: 19]

- (preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Sequence Listing, a table, or a computer program listing appendix.
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure

- 4.
- ☒
- Drawing(s) (35 USC 113) [Total Sheets: 8]

- 5.
- ☒
- Oath or Declaration [Total Pages : 3]

- a.1. ☐ Newly executed (original or copy)
- a.2. ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)

i. ☐ DELETION OF INVENTOR(S)Signed statement attached deleting inventor(s) named  
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

- 6.
- ☐
- Application Data Sheet (see 37 CFR 1.76)

Commissioner for Patents  
ADDRESS TO: P.O. Box 1450  
Alexandria, VA 22313-1450

- 7.
- ☐
- CD-ROM or CD-R in duplicate, large table or computer
- 
- program (Appendix)

- 8.
- ☐
- Nucleotide and/or Amino Acid Sequence Submission
- 
- (if applicable, all necessary)

- a. ☐ Computer Readable Form
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ Paper
- c. ☐ The paper and computer readable copies are identical

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically  
itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other 1. COVER LETTER FOR APPLICATION FILED  
WITHOUT EXECUTED DECLARATION

## 2. CLAIM OF PRIORITY UNDER 35 USC 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or
- 
- in an Application Data Sheet :

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

Michael S. Huppert  
Registration No. 40,268WENDEROTH, LIND & PONACK, L.L.P.  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone: (202) 721-8200  
Fax: (202) 721-8250

July 15, 2003

15535 U.S. PTO  
10/619006

07/15/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Keishi KUBO et al. :  
Serial No. NEW : Attn: APPLICATION BRANCH  
Filed July 15, 2003 : Attorney Docket No. 2003\_0966A

METHOD AND APPARATUS FOR  
QUANTITATIVE QUALITY INSPECTION OF  
SUBSTRATE SUCH AS WAFER

PATENT OFFICE FEE TRANSMITTAL FORM

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$834.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$750.00  
Assignment for Recordal ..... \$  
Additional Claims Fee:  
Excess of Twenty ..... \$  
Independent ..... \$84.00  
Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Keishi KUBO et al.

By Michael S. Huppert  
Michael S. Huppert  
Registration No. 40,268  
Attorney for Applicants

MSH/kjf  
WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
July 15, 2003

[Check No. 56692]  
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